

## **FY 2020 OMB Supplemental Data Call**

### **US Agency for Global Media Broadcasting Board of Governors (USAGM BBG)**

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## **Agency-Wide Responses**

### **Question 2: Detecting and Recovering Improper Payments (PIIA Section: 3352(e), 3352(e) (1))**

*Please describe the steps the agency has taken to detect and recover improper payments.*

**ANSWER:**

<b>Indicate root cause</b>	<b>Indicate mitigation strategy/corrective action(s) taken</b>	<b>Provide any additional detail (optional free text)</b>	<b>Select the actual completion date for action(s) taken</b>
9. Administrative or Process Errors Made by: Federal Agency	4-Change Process (instructions, checklist, policy)		FY2020 Q3

### **Question 2 Free Text: Detecting and Recovering Improper Payments (PIIA Section: 3352(e), 3352(e) (1))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** USAGM has mechanisms in place to detect and recover improper payments. The activities include data mining-initiated reviews, limited scope reviews, special investigations, eligibility verification and agency-wide audits.

### **Question 3: Recovery Audits (PIIA Section: 3352)**

*Please describe the steps the agency has taken to recover improper payments identified in recovery audits. Please note there is a 3000 character limit.*

**ANSWER:** USAGM was granted a recapture audit waiver on June 2, 2015, per OMB. The waiver remains in effect.

### **Question 4: Excluded Programs (PIIA Section: 3352(e) (7))**

*Please list any programs the agency excluded from review under its payment recapture audit program because a payment recovery audit program was determined to not be cost-effective and provide a summary of the justification used to make that determination. Please note there is a 3000 character limit.*

**ANSWER:** USAGM actively pursues improper payments outside of the recapture audit. If improper payments are discovered that result in monetary loss to the government, USAGM takes aggressive steps to recover the funds. An email or letter is sent to a vendor or employee explaining that an improper payment has been made and requesting that the funds be returned to USAGM.

### **Question 5 Free Text: Financial and Administrative Controls (PIIA Section: 3357(d))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** During FY 2019, the USAGM's Office of Risk Management (ORM) developed and submitted the agency's first Enterprise Risk Management (ERM) risk profile which is a prioritized list of the top enterprise risks to the Agency's objectives. The Agency has now produced an ERM Risk Profile the last two years and is in its third ERM cycle for FY 2021. The Agency has documented and is currently implementing appropriate risk response mitigation strategies to identified enterprise risks including an

evaluation of fraud risk, documentation of controls in place, and mitigating activities. The agency uses a risk-based approach to design and implement financial and administrative controls. USAGM has controls in place to address identified fraud risks related to payroll, grants, large procurements, information technology and security, safeguarding assets, and purchase and travel cards. Current financial and administrative controls to monitor and mitigate potential fraud include documented system authorization procedures, oversight and approval of transactions, and separation of duties. Financial activity is tracked, monitored, and reviewed or reconciled on a periodic (monthly or quarterly) basis.

**Question 6 Free Text: Statutory Thresholds and Risk Assessments (PIIA Section: 3352(a) (3) (C))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** None of the programs tested in FY 2020 were determined to be susceptible to significant improper payments.

## **Agency-Wide Responses**

### **Question 12: Inspector General Compliance (PIIA Section: 3352(f) (2) (A) and (B); 3353(b) (1) (A); 3353(b) (5))**

*Please indicate which of the six (6) criteria (if any) were determined to be non-compliant in the most recent IG compliance review.*

#### **ANSWER:**

<b>Indicate compliant or non-compliant</b>	<b>Compliance criteria</b>
Compliant	1. Publish an AFR or PAR
Compliant	2. Conduct Program-Specific Risk Assessment

### **Question 12 Free Text: Inspector General Compliance (PIIA Section: 3352(f) (2) (A) and (B); 3353(b) (1) (A); 3353(b) (5))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** Requirements 3 through 6 apply to agencies that have identified programs susceptible to significant improper payments. USAGM does not have a program susceptible to significant improper payments, therefore, is not required to perform additional procedures or make other PAR disclosures.

### **Question 13 Free Text: Inspector General Compliance (PIIA Section: 3352(f) (2) (A) and (B); 3353(b) (1) (A); 3353(b) (5))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** On April 21, 2020, the Office of Inspector General issued a report that USAGM complied with applicable improper payments requirements during FY 2019 and that no recommendations were necessary as a result of the audit.

### **Question 14 Free Text: Bringing the program into compliance (PIIA Section: 3353(b) (5))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** On April 21, 2020, the Office of Inspector General issued a report that USAGM complied with applicable improper payments requirements during FY 2019 and that no recommendations were necessary as a result of the audit. USAGM is not required to perform additional procedures or make other PAR disclosures because it does not have a program at significant risk for improper payments.

### **Question 15 Free Text: Creating accountability to achieve compliance (PIIA Section: 3353(b) (1) (B))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** On April 21, 2020, the Office of Inspector General issued a report that USAGM complied with applicable improper payments requirements during FY 2019 and that no recommendations were

necessary as a result of the audit. USAGM is not required to perform additional procedures or make other PAR disclosures because it does not have a program at significant risk for improper payments.

**Question 16 Free Text: Do Not Pay Initiative (PIIA Section: 3354(b) (5))**

*Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.*

**ANSWER:** USAGM utilizes resources such as Treasury's Do Not Pay (DNP) Initiative, GSA's System for Award Management (SAM), and the Treasury Offset Program (TOPS) to reduce improper payments prior to making a payment or award. USAGM is required to review current pre-payment and pre-award procedures and ensure that a thorough review of available databases with relevant information on eligibility occurs before the release of any Federal funds, to the extent permitted by law.