

FY 2020 OMB Supplemental Data Call

PCLOB

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Agency-Wide Responses

Question 2: Detecting and Recovering Improper Payments (PIIA Section: 3352(e), 3352(e) (1))

Please describe the steps the agency has taken to detect and recover improper payments.

ANSWER:

| Indicate root cause | Indicate mitigation strategy/corrective action(s) taken | Provide any additional detail (optional free text) | Select the actual completion date for action(s) taken |
|---|---|--|---|
| 9. Administrative or Process Errors Made by: Federal Agency | 1-Automation | Completion Q4 FY2020 | Other |
| 9. Administrative or Process Errors Made by: Federal Agency | 3-Training (how to complete contracts) | Completion Q4 FY2020 | Other |
| 9. Administrative or Process Errors Made by: Federal Agency | 6-Audit (improve IC) | Completion Q4 FY2020 | Other |

Question 3: Recovery Audits (PIIA Section: 3352)

Please describe the steps the agency has taken to recover improper payments identified in recovery audits. Please note there is a 3000 character limit.

ANSWER: N/A, NO IMPROPER PAYMENTS IDENTIFIED in FY 2020

Question 4: Excluded Programs (PIIA Section: 3352(e) (7))

Please list any programs the agency excluded from review under its payment recapture audit program because a payment recovery audit program was determined to not be cost-effective and provide a summary of the justification used to make that determination. Please note there is a 3000 character limit.

ANSWER: N/A, PCLOB ONLY HAS ONE PROGRAM; NO PROGRAMS EXCLUDED FROM REVIEW.

Question 5: Financial and Administrative Controls (PIIA Section: 3357(d))

Please describe your agency's progress:

- *Implementing the financial and administrative controls established by OMB in OMB Circular A-123 to identify and assess fraud risks and design and implement control activities in order to prevent, detect, and respond to fraud, including improper payments; the fraud risk principle in the Standards for Internal Control in the Federal Government published by the Government Accountability Office*

(commonly known as the "Green Book"); and Office of Management and Budget Circular A-123, with respect to the leading practices for managing fraud risk;

- Identifying risk and vulnerabilities to fraud, and
- Establishing strategies, procedures, and other steps to curb fraud.

ANSWER:

| Implementation of OMB Circular A-123 | Implementation of GAO Green Book | Identifying Risk and Vulnerabilities | Establishing Strategies, Procedures and Other steps |
|---|---|---|--|
| 3 – Fully Operational | 2 – Established | 2 – Established | 2 – Established |

Question 6 Free Text: Statutory Thresholds and Risk Assessments (PIIA Section: 3352(a) (3) (C))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PCLOB. PCLOB ONLY HAS ONE PROGRAM; NO PROGRAMS NOT LISTED IN THIS COLLECTION

PCLOB Agency Reporting

Question 1 Free Text: Annual Performance Appraisal Criteria (PIIA Section: 3352(d) (5) (A) and (B))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 7 Free Text: Improper Payment Rate Reduction (PIIA Section: 3352(d) (2))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 8: Tolerable Rate

Do you believe the program has reached a tolerable rate of improper payments?

ANSWER:

| |
|---------------------------|
| Indicate Yes or No |
| N/A |

Question 8 Free Text: Tolerable Rate

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 9 Free Text: Internal Controls, Human Capital, Information Systems and other Infrastructure and Program Needs (PIIA Section: 3352(d) (2) (A) through (C)3352(d) (3))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 10 Free Text: Corrective Actions Taken (PIIA Section: 3352(d) (1))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 11 Free Text: Corrective Actions to be Taken (PIIA Section: 3352(d) (1))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PLCOB PER FY2020 SUPPLEMENTAL DATA CALL INSTRUCTIONS

Question 12 Free Text: Inspector General Compliance (PIIA Section: 3352(f) (2) (A) and (B); 3353(b) (1) (A); 3353(b) (5))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PCLOB, AS PCLOB DOES NOT HAVE AN IG.

Question 13 Free Text: Inspector General Compliance (PIIA Section: 3352(f) (2) (A) and (B); 3353(b) (1) (A); 3353(b) (5))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A TO PCLOB, AS PCLOB DOES NOT HAVE AN IG.

Question 14 Free Text: Bringing the program into compliance (PIIA Section: 3353(b) (5))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A, NO IMPROPER PAYMENTS IDENTIFIED IN FY 2020

Question 15 Free Text: Creating accountability to achieve compliance (PIIA Section: 3353(b) (1) (B))

Based on your selection(s) above, provide additional information below. Please note there is a 3000 character limit.

ANSWER: N/A, NO IMPROPER PAYMENTS IDENTIFIED IN FY 2020

Question 16: Do Not Pay Initiative (PIIA Section: 3354(b) (5))

Please indicate whether the program uses the DNP (yes/no) and whether the Do Not Pay Initiative has reduced/prevented improper payments (yes/no). Additionally, please provide the frequency of corrections (week/month range) or identification of incorrect information (range of false hits?).

ANSWER:

| Does the program use the Do Not Pay Initiative (DNP) (yes/no) | Has the DNP reduced/prevented improper payments (yes/no) | How frequently are corrections made? | How frequently is incorrect information identified? |
|--|---|---|--|
| YES | YES | Weekly | Weekly |